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DT03 Re CT/PTO 15 OCT 2004

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	10/502,145
		Filing Date	Not Yet Assigned
		First Named Inventor	MACKAY, CHARLES REAY
		Group Art Unit	Not Yet Assigned
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	3	Attorney Docket Number	RICE-032
<b>ENCLOSURES (check all that apply)</b>			
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group	
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	10 References	
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SB08a Form	<input type="checkbox"/> CD, Number of CD(s)		
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application			
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53			
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>			
Signing Attorney/Agent (Reg. No.)	CAROL L. FRANCIS, 36,513 BOZICEVIC, FIELD & FRANCIS, LLP		
Signature			
Date	October 15, 2004		

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION  
DISCLOSURE STATEMENT**

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Attorney Docket	RICE-032
First Named Inventor	MACKAY, CHARLES REAY
Application Number	10/502,145
Confirmation No.	Not Yet Assigned
Filing Date	Not Yet Assigned
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Title: "MONOCLONAL ANTIBODIES AGAINST EXTRACELLULAR LOOPS OF C5aR"	

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. A Form PTO-SB/08A listing the references and copies of the cited references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. § 102.

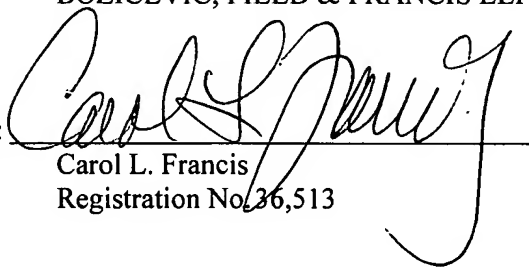
As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-0815, Order No. RICE-032 may be charged thereon.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date:

OCT 15, 2004

By:

  
Carol L. Francis  
Registration No. 36,513

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PTO/SB/08a (05-03)

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/502,145		
		Filing Date	Not yet assigned		
		First Named Inventor	MACKAY, CHARLES REAY		
		Art Unit	Not yet assigned		
		Examiner Name	Not yet assigned		
Sheet	1	of	1	Attorney Docket Number	RICE-032

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US-				
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FOREIGN PATENT DOCUMENTS							
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>o</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
		EP	0 377 489	07/11/1990	Merck & Co. Inc.		
		WO	95/00164	01/05/1995	The Scripps Research Institute		

OTHER ART—NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Elsner, et al., "C3a activates the respiratory burst in human polymorphonuclear neutrophilic leukocytes via pertussis toxin-insensitive g-proteins", Blood, (1994), vol. 83, no. 11, pp. 33224-3331	
		Farkas et al., "C5a receptor expression by TGW neuroblastoma cells", NeuroReport (1999), vol. 10, no. 14, pgs. 3021-3025.	
		Fayyazi et al., "The C5a receptor is expressed in normal renal proximal tubular but not in normal pulmonary or hepatic epithelial cells", Immunology, (2000), vol. 99, no. 1, pgs. 3845.	
		Jagels, et al., "Proteolytic inactivation of the leukocyte C5a receptor by proteinases derived from porphyromonas gingivalis", Infection and Immunity, (1996), vol. 64, no. 6, pgs. 1984-1991.	
		Oppermann, et al., "Probing the human receptor for C5a anaphylatoxin with site-directed antibodies", The Journal of Immunology, (1993) vol. 151, no. 7, pgs. 3785-3794.	
		Schlaf, et al., "Differential expression of the C5a receptor on the main cell types of rat liver as demonstrated with a novel monoclonal antibody and by C5a anaphylatoxin-induced Ca <sup>2+</sup> release", Laboratory Investigation, (1999) vol. 79, no. 10, pgs. 1287-1297.	
		Sayah, et al., "Expression of cytokines by human astrocytomas following stimulation by C3a anaphylatoxins: specific increase in interleukin-6 mRNA expression", Journal of Neurochemistry (1999), vol. 72, no. 6, pgs. 2426-2436.	
		Watanabe, et al., "Analysis of C5a receptor by monoclonal antibody", Journal of Immunological Methods, (1995), vol. 185, no. 1, pgs. 19-29.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## EXPRESS MAIL CERTIFICATION

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Signature



Date

10/15/04

Atty. Docket No.	Serial Number	Description	Atty.	Fee
LIFE-080CON	10/278,170	Request for RCE Transmittal, Fee Sheet <i>in duplicate</i> , IDS, SB08A, (1) Cited Reference w/English Translation	CML	\$790
LIFE-034	10/013,856	Request for RCE Transmittal, Fee Sheet <i>in duplicate</i> , Amendment After Final Rejection, IDS, SB08A, Copy of SG Search Report (AU), (2) Cited References	CML	\$790
EPIT-007	10/869,355	Transmittal, Fee Sheet <i>in duplicate</i> , Executed Declaration, Copy of Notice to File Missing Parts, IDS, SB08A, (1) Cited References	JSK	\$65
UCSF-084CON2	10/915,017	Transmittal, Preliminary Amendment	PAB	
UCAL-161DIV	09/922,483	Transmittal, Notice of Appeal <i>in duplicate</i> (w/Petition for a 3 Month Extension)	PAB	\$660
REDL-008	10/882,993	Transmittal, Form 2038, Copy of Notice to File Missing Parts, Executed Declaration	BEF	\$460
CELL-003	08/182,247	Withdraw of POA w/Supporting Documents	PJS	
CELL-003CIP	08/380,916	Withdraw of POA w/Supporting Documents	PJS	
CELL-003CIP2	08/721,690	Withdraw of POA w/Supporting Documents	PJS	
CELL-003CON	08/891,581	Withdraw of POA w/Supporting Documents	PJS	
CELL-004	08/495,034	Withdraw of POA w/Supporting Documents	PJS	
CELL-004CIP	08/669,753	Withdraw of POA w/Supporting Documents	PJS	
CELL-004CIP3	10/139,089	Withdraw of POA w/Supporting Documents	PJS	
CELL-014	09/392,822	Withdraw of POA w/Supporting Documents	PJS	
CELL-013	09/413,044	Withdraw of POA w/Supporting Documents	PJS	
GTIN-004	10/081,969	Withdraw of POA w/Supporting Documents	PJS	
GTIN-003	10/602,853	Withdraw of POA w/Supporting Documents	PJS	
CELL-030PRV	60/556,549	Withdraw of POA w/Supporting Documents	PJS	
GTIN-012CON	10/816,726	Withdraw of POA w/Supporting Documents	PJS	
CELL-031PRV	60/601,103	Withdraw of POA w/Supporting Documents	PJS	
CELL-002	10/183,972	Withdraw of POA w/Supporting Documents	PJS	
CELL-011CON	09/875,228	Withdraw of POA w/Supporting Documents	PJS	
CELL-006	09/033,428	Withdraw of POA w/Supporting Documents	PJS	
CELL-005CIP	08/906,192	Withdraw of POA w/Supporting Documents	PJS	
CELL-009CON	09/614,495	Withdraw of POA w/Supporting Documents	PJS	
CELL-009	09/033,333	Withdraw of POA w/Supporting Documents	PJS	
CELL-007CON	10/045,116	Withdraw of POA w/Supporting Documents	PJS	
CELL-005	08/692,759	Withdraw of POA w/Supporting Documents	PJS	
GTIN-005	10/081,961	Transmittal, Restriction Election, Withdraw of POA w/Supporting Documents	PJS	
CELL-004CON	09/732,169	Withdraw of POA w/Supporting Documents	PJS	
CELL-006CON2	09/898,883	Withdraw of POA w/Supporting Documents	PJS	
CELL-009CON2	10/222,479	Withdraw of POA w/Supporting Documents	PJS	
CELL-006CIP	09/509,591	Withdraw of POA w/Supporting Documents	PJS	
CELL-012	09/033,556	Withdraw of POA w/Supporting Documents	PJS	
CELL-015	09/474,699	Withdraw of POA w/Supporting Documents	PJS	
CELL-015CON	10/226,820	Withdraw of POA w/Supporting Documents	PJS	
CELL-016	09/814,292	Withdraw of POA w/Supporting Documents	PJS	
UCAL-223CIP4	10/133,289	Petition for a 1 Month Extension of Time <i>in duplicate</i>	CLF	\$55
RICE-032	10/502,145	Transmittal, IDS, SB08A, (10) Cited References	CLF	